

**Exhibit 300 (BY2008)**

<b>PART ONE</b>	
<b>OVERVIEW</b>	
<b>1. Date of Submission:</b>	2006-11-07
<b>2. Agency:</b>	015
<b>3. Bureau:</b>	04
<b>4. Investment Name:</b>	Secure Outreach Network, Including Transition of Gateway Customers
<b>5. UPI:</b>	015-04-01-14-01-1001-00
<b>6. What kind of investment will this be in FY2008?</b>	
Mixed Life Cycle	
<b>7. What was the first budget year this investment was submitted to OMB?</b>	
FY2001 or earlier	
<b>8. Provide a brief summary and justification for this investment, including a brief description of how this closes in part or in whole an identified agency performance gap.</b>	
<p>The Secure Outreach System enables federal, state, and local law enforcement agencies to securely access a platform designed to enhance networking of case information, reduce redundancy of investigations, provide law enforcement subject data from both government and commercial sources and promote communication amongst law enforcement organizations. Secure Outreach provides the ability to research the IRS Detroit Computing Center's Bank Secrecy Act (BSA) databases via the Internet. It also provides access for state and local users to a system, which can provide commercial database information on subjects with a 48-hour turnaround. In the future, Secure Outreach will offer access to additional enforcement databases, electronic requests for case research assistance, and provide the ability to download the case research into other applications. The network is expanding to encompass regulatory users and a large volume of additional federal and state users. Interactive computer based training and testing modules have been added to automate the training process and provide easier access to system training. In addition, the network allows secure messaging in the form of email. It also includes an online library of relevant publications and computer based training modules. The existing web site was successfully moved to a web portal using single sign-on technology. Section 361 of the Patriot Act requires FinCEN to establish and maintain operating procedures for government-wide access to its systems. These procedures must provide appropriate limits on the use of information in order to protect privacy rights.</p>	
<b>9. Did the Agency's Executive/Investment Committee approve this request?</b>	
yes	
<b>9.a. If "yes," what was the date of this approval?</b>	
2006-08-09	
<b>10. Did the Project Manager review this Exhibit?</b>	
yes	
<b>11. Project Manager Name:</b>	
<b>Project Manager Phone:</b>	
<b>Project Manager Email:</b>	
<b>12. Has the agency developed and/or promoted cost effective, energy-efficient and environmentally sustainable techniques or practices for this project.</b>	
yes	
<b>12.a. Will this investment include electronic assets (including computers)?</b>	
yes	
<b>12.b. Is this investment for new construction or major retrofit of a Federal building or facility? (answer applicable to non-IT assets only)</b>	
no	
<b>13. Does this investment support one of the PMA initiatives?</b>	

yes	
If yes, select the initiatives that apply:	
Expanded E-Government	
13.a. Briefly describe how this asset directly supports the identified initiative(s)?	
This investment directly supports expanded e-gov by allowing Federal, State, and Local Law Enforcement agencies as well as Regulators to securely access over the Internet Bank Secrecy Act reports.	
14. Does this investment support a program assessed using OMB's Program Assessment Rating Tool (PART)?	
yes	
14.a. If yes, does this investment address a weakness found during the PART review?	
no	
14.b. If yes, what is the name of the PART program assessed by OMB's Program Assessment Rating Tool?	
Bank Secrecy Act Data Collection, Retrieval and Sharing	
14.c. If yes, what PART rating did it receive?	
Moderately Effective	
15. Is this investment for information technology (See section 53 for definition)?	
yes	
16. What is the level of the IT Project (per CIO Council's PM Guidance)?	
Level 2	
17. What project management qualifications does the Project Manager have? (per CIO Council's PM Guidance)	
(1) Project manager has been validated as qualified for this investment	
18. Is this investment identified as high risk on the Q4 - FY 2006 agency high risk report (per OMB's high risk memo)?	
yes	
19. Is this a financial management system?	
no	
19.a. If yes, does this investment address a FFMIA compliance area?	
no	
20. What is the percentage breakout for the total FY2008 funding request for the following? (This should total 100%)	
Hardware	5
Software	0
Services	85
Other	9
21. If this project produces information dissemination products for the public, are these products published to the Internet in conformance with OMB Memorandum 05-04 and included in your agency inventory, schedules and priorities?	
n/a	
22. Contact information of individual responsible for privacy related questions.	
Name	
Phone Number	
Title	
Information Systems Security Manager	
Email	
23. Are the records produced by this investment appropriately scheduled with the National Archives and Records Administration's approval?	

yes

## SUMMARY OF SPEND

1. Provide the total estimated life-cycle cost for this investment by completing the following table. All amounts represent budget authority in millions, and are rounded to three decimal places. Federal personnel costs should be included only in the row designated Government FTE Cost, and should be excluded from the amounts shown for Planning, Full Acquisition, and Operation/Maintenance. The total estimated annual cost of the investment is the sum of costs for Planning, Full Acquisition, and Operation/Maintenance. For Federal buildings and facilities, life-cycle costs should include long term energy, environmental, decommissioning, and/or restoration costs. The costs associated with the entire life-cycle of the investment should be included in this report.

All amounts represent Budget Authority

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 & Earlier	PY	CY
	-2005	2006	2007
Planning Budgetary Resources	1.224	0.000	0.000
Acquisition Budgetary Resources	3.949	0.234	0.242
Maintenance Budgetary Resources	2.038	1.516	1.689
Government FTE Cost	0.727	0.344	0.360
# of FTEs	2	2	2

Note: For the cross-agency investments, this table should include all funding (both managing partner and partner agencies).

Government FTE Costs should not be included as part of the TOTAL represented.

2. Will this project require the agency to hire additional FTE's?

no

## PERFORMANCE

In order to successfully address this area of the exhibit 300, performance goals must be provided for the agency and be linked to the annual performance plan. The investment must discuss the agency's mission and strategic goals, and performance measures must be provided. These goals need to map to the gap in the agency's strategic goals and objectives this investment is designed to fill. They are the internal and external performance benefits this investment is expected to deliver to the agency (e.g., improve efficiency by 60 percent, increase citizen participation by 300 percent a year to achieve an overall citizen participation rate of 75 percent by FY 2xxx, etc.). The goals must be clearly measurable investment outcomes, and if applicable, investment outputs. They do not include the completion date of the module, milestones, or investment, or general goals, such as, significant, better, improved that do not have a quantitative or qualitative measure.

Agencies must use Table 1 below for reporting performance goals and measures for all non-IT investments and for existing IT investments that were initiated prior to FY 2005. The table can be extended to include measures for years beyond FY 2006.

Table 1

	Fiscal Year	Strategic Goal(s) Supported	Performance Measure	Actual/baseline (from Previous Year)	Planned Performance Metric (Target)	Performance Metric Results (Actual)
1	2002	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Modernize the retrieval of BSA information.	Increase the number of users directly accessing BSA data through FinCEN's Gateway process by 10% annually.	585 total users	New users per year	115 new users added
2	2002	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Modernize the	Process 8,000 Gateway cases per year through the system.	Process 8,000 Gateway cases per year	Gateway cases processed per year	8,948 Gateway cases processed this fiscal year.

		retrieval of BSA information.				
3	2003	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Modernize the retrieval of BSA information.	Increase the number of users directly accessing BSA data through FinCEN's Gateway process by 20% annually.	898 total users	New users per year	207 new users added
4	2003	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Modernize the retrieval of BSA information.	Process 10,000 Gateway cases per year through the system.	Process 10,000 Gateway cases per year	Gateway cases processed per year	10,218 Gateway cases processed this fiscal year.
5	2004	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Modernize the retrieval of BSA information.	Increase the number of users directly accessing BSA data through FinCEN's Gateway process by 30% annually.	1,105 total users	New users per year	703 new users added
6	2004	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Modernize the retrieval of BSA information.	Process 12,000 Gateway cases per year through the system.	Process 12,000 Gateway cases per year	Gateway cases processed per year	12,910 Gateway cases processed this fiscal year.
7	2005	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Facilitate regulatory compliance, data management, and information sharing through E-government.	Increase the number of users directly accessing BSA data through FinCEN's Gateway process by 30% annually.	1808 total users	New users per year	979 new users added.
8	2005	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Facilitate regulatory compliance, data management, and information sharing through E-government.	Process 14,000 Gateway cases per year through the system.	Process 14,000 Gateway cases per year	Gateway cases processed per year	19,785 Gateway cases processed.
9	2006	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Facilitate regulatory compliance, data management, and information sharing through E-government.	Increase the number of users directly accessing BSA data through FinCEN's Gateway process by 30% annually.	2,787 total users	New users per year	601 new users added as of June 30, 2006.
10	2006	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Facilitate regulatory compliance, data management, and	Process 21,000 Gateway cases per year through the system.	Process 19,785 Gateway cases per year.	Gateway cases processed per year	17,946 Gateway cases processed as of June 30, 2006.

		information sharing through E-government.				
11	2007	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Facilitate regulatory compliance, data management, and information sharing through E-government.	Increase the number of users directly accessing BSA data through FinCEN's Gateway process by 15% annually.	-	New users per year	-
12	2007	Treasury: Preserve the Integrity of Financial Systems, FinCEN: Facilitate regulatory compliance, data management, and information sharing through E-government.	Process 23,000 Gateway cases per year through the system.	-	Gateway cases processed per year	-

All new IT investments initiated for FY 2005 and beyond must use Table 2 and are required to use the FEA Performance Reference Model (PRM). Please use Table 2 and the PRM to identify the performance information pertaining to this major IT investment. Map all Measurement Indicators to the corresponding "Measurement Area" and "Measurement Grouping" identified in the PRM. There should be at least one Measurement Indicator for at least four different Measurement Areas (for each fiscal year). The PRM is available at [www.egov.gov](http://www.egov.gov).

Table 2

Fiscal Year	Measurement Area	Measurement Grouping	Measurement Indicator	Baseline	Planned Improvement to the Baseline	Actual Results
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## EA

In order to successfully address this area of the business case and capital asset plan you must ensure the investment is included in the agency's EA and Capital Planning and Investment Control (CPIC) process, and is mapped to and supports the FEA. You must also ensure the business case demonstrates the relationship between the investment and the business, performance, data, services, application, and technology layers of the agency's EA.

1. Is this investment included in your agency's target enterprise architecture?

yes

1.a. If no, please explain why?

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2. Is this investment included in the agency's EA Transition Strategy?

yes

2.a. If yes, provide the investment name as identified in the Transition Strategy provided in the agency's most recent annual EA Assessment.

Secure Outreach

3. Identify the service components funded by this major IT investment (e.g., knowledge management, content management, customer relationship management, etc.). Provide this information in the format of the following table. For detailed guidance regarding components, please refer to <http://www.whitehouse.gov/omb/egov/>.

Component: Use existing SRM Components or identify as NEW. A NEW component is one not already identified as a service component in the FEA SRM.

Reused Name and UPI: A reused component is one being funded by another investment, but being used by this investment. Rather than answer yes or no, identify the reused service component funded by the other investment and identify the other investment using the Unique Project Identifier (UPI) code from the OMB Ex 300 or Ex 53 submission.

Internal or External Reuse?: Internal reuse is within an agency. For example, one agency within a department is reusing a service component provided by another agency within the same department. External reuse is one agency within a department reusing a service

component provided by another agency in another department. A good example of this is an E-Gov initiative service being reused by multiple organizations across the federal government.

*Funding Percentage: Please provide the percentage of the BY requested funding amount used for each service component listed in the table. If external, provide the funding level transferred to another agency to pay for the service.*

	Agency Component Name	Agency Component Description	Service Type	Component	Reused Component Name	Reused UPI	Internal or External Reuse?	Funding %
1	Online Training	Trainer Soft is used to build tutorials and users are trained on the system including email and BSA queries.	Customer Initiated Assistance	Online Tutorials			No Reuse	6
2	Help Desk	A Help Desk is staffed by Information Analysis Incorporated to handle any problems the user might encounter with the use of the system.	Customer Relationship Management	Call Center Management			No Reuse	34
3	Web Portal	The system integrates data from separate sources into a single system using a Sun web portal.	Development and Integration	Data Integration			No Reuse	23
4	Configuration Management	The configuration management is performed using the freeware called Subversion to control the software environment. Hardware configuration is performed using Office products.	Management of Processes	Configuration Management			No Reuse	2
5	Software Development	Software development involves integrating the Sun email and Sun web portal COTS products that are used to build the system using Sun Java Enterprise Studio.	Development and Integration	Software Development			No Reuse	12
6	Test Bed	The system includes a test bed to support the validation of application and	Development and Integration	Instrumentation and Testing			No Reuse	5

		system capabilities and requirements.						
7	Customer Feedback	The system includes a Sun secure email component by which users can provide feedback.	Customer Relationship Management	Customer Feedback			No Reuse	1
8	Project Management	Management and control of the Secure Outreach project is done using Microsoft Project Manager.	Management of Processes	Program / Project Management			No Reuse	5
9	Email	Sun Secure Email is used to transmit case information, commercial database research, memos, and messages.	Collaboration	Email			No Reuse	1
10	Online Help	Users can obtain online help by clicking on various tutorials.	Customer Initiated Assistance	Online Help			No Reuse	1
11	Performance Monitoring	Sun Solaris Management Console monitoring tools are used to check memory, disk space, and performance on the system servers and their applications.	Systems Management	System Resource Monitoring			No Reuse	1

4. To demonstrate how this major IT investment aligns with the FEA Technical Reference Model (TRM), please list the Service Areas, Categories, Standards, and Service Specifications supporting this IT investment.

FEA SRM Component: Service Components identified in the previous question should be entered in this column. Please enter multiple rows for FEA SRM Components supported by multiple TRM Service Specifications.

Service Specification: In the Service Specification field, Agencies should provide information on the specified technical standard or vendor product mapped to the FEA TRM Service Standard, including model or version numbers, as appropriate.

	SRM Component	Service Area	Service Category	Service Standard	Service Specification (i.e., vendor and product name)
1	Email	Service Access and Delivery	Access Channels	Collaboration / Communications	Sun Messenger, Netscape and Microsoft web browsers
3	Email	Service Access and Delivery	Access Channels	Web Browser	Netscape and Microsoft web browsers
5	Online Tutorials	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
6	System Resource	Service Platform	Delivery Servers	Portal Servers	Sun Solaris Management

11	Software Development	Service Platform and Infrastructure	Hardware / Infrastructure	Embedded Technology Devices	Sun hard disk drives
13	Software Development	Service Platform and Infrastructure	Hardware / Infrastructure	Peripherals	HP printers
14	Software Development	Service Platform and Infrastructure	Hardware / Infrastructure	Servers / Computers	Sun V240 and 280R servers, Dell servers
16	Software Development	Service Platform and Infrastructure	Software Engineering	Integrated Development Environment	Sun Java Studio
17	Configuration Management	Service Platform and Infrastructure	Software Engineering	Software Configuration Management	Subversion
18	Instrumentation and Testing	Service Platform and Infrastructure	Software Engineering	Test Management	Excel
19	Software Development	Service Platform and Infrastructure	Support Platforms	Platform Independent	Sun J2EE
20	Data Integration	Service Platform and Infrastructure	Delivery Servers	Portal Servers	Sun Java Enterprise Server Portal
21	Data Integration	Service Platform and Infrastructure	Delivery Servers	Application Servers	Sun Application Server
22	Data Integration	Service Platform and Infrastructure	Delivery Servers	Web Servers	Sun Web Server
24	Software Development	Service Interface and Integration	Interface	Service Description / Interface	Sun API
26	Data Integration	Service Interface and Integration	Interoperability	Data Types / Validation	XML
27	Data Integration	Component Framework	Business Logic	Platform Independent	Sun EJB, Java Portlet API (JSR 168), Java Servlet (JSR 53), Javascript
28	Online Help	Service Access and Delivery	Service Requirements	Legislative / Compliance	Section 508
29	Data Integration	Component Framework	Data Management	Database Connectivity	Sun JDBC
30	Customer Feedback	Service Access and Delivery	Access Channels	Web Browser	Netscape and Microsoft web browsers
32	Program / Project Management	Service Platform and Infrastructure	Delivery Servers	Portal Servers	Microsoft Project Manager

5. Will the application leverage existing components and/or applications across the Government (i.e., FirstGov, Pay.Gov, etc)?

yes

5.a. If yes, please describe.

Secure Outreach is participating in the GSA E-Authentication Federation single-sign-on using SAML. The E-Authentication Initiative is one of the 24 eGov initiatives in support of the President's Management Agenda (PMA). The GSA E-Authentication program will eventually be switching to the SAML 2.0 standard and will become compatible with the Secure Outreach program at that time. Secure Outreach is attending meeting with the GSA E-Authentication Federation working group so that, when available, it can leverage components of the GSA E-Authentication program.

6. Does this investment provide the public with access to a government automated information system?

no

## PART TWO

### RISK



<i>You should perform a risk assessment during the early planning and initial concept phase of the investment's life-cycle, develop a risk-adjusted life-cycle cost estimate and a plan to eliminate, mitigate or manage risk, and be actively managing risk throughout the investment's life-cycle.</i>
<i>Answer the following questions to describe how you are managing investment risks.</i>
<i>1. Does the investment have a Risk Management Plan?</i>
yes
<i>1.a. If yes, what is the date of the plan?</i>
2006-08-30
<i>1.b. Has the Risk Management Plan been significantly changed since last year's submission to OMB?</i>
no
<i>3. Briefly describe how investment risks are reflected in the life cycle cost estimate and investment schedule: (O&amp;M investments do NOT need to answer.)</i>
Investment risks are reflected in the life cycle cost estimate and investment schedule in various ways. A cost analysis was performed to define full costs and possible cost overruns and delays have been identified and funding set aside to ensure continued operation. The project is mainly in Operations and Maintenance except for a small web development task, therefore the risk that there is not enough money to complete the project is very low. The life cycle cost estimate for the selected alternative includes estimates for technological obsolescence to periodically replace old hardware such as firewalls, servers, and load balancers. The cost estimate includes a full time security person on the project to reduce the security risk by keeping the C&A documentation up to date. The cost estimate also includes the cost for redundant equipment to lower the reliability risk of system down time. The development is done using open standards such as Java and SAML to lower the technology risk.
<b>COST &amp; SCHEDULE</b>
<i>Does the earned value management system meet the criteria in ANSI/EIA Standard 748?</i>
yes
<i>2.a. What is the Planned Value (PV)?</i>
2.939
<i>2.b. What is the Earned Value (EV)?</i>
2.937
<i>2.c. What is the actual cost of work performed (AC)?</i>
2.898
<i>What costs are included in the reported Cost/Schedule Performance information?</i>
Contractor and Government
<i>2.e. As of date:</i>
2006-12-31
<i>3. What is the calculated Schedule Performance Index (SPI= EV/PV)?</i>
1
<i>4. What is the schedule variance (SV = EV-PV)?</i>
-0.002
<i>5. What is the calculated Cost Performance Index (CPI = EV/AC)?</i>
1.01
<i>6. What is the cost variance (CV = EV-AC)?</i>
0.039
<i>7. Is the CV or SV greater than 10%?</i>
no
<i>7.d. What is most current Estimate at Completion?</i>
<i>8. Have any significant changes been made to the baseline during the past fiscal year?</i>
no

